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Signalling within the neurovascular unit in the mammalian retina

Monica R. Metea and Eric A. Newman

Department of Neuroscience, University of Minnesota, 6-145 Jackson Hall, 321 Church Street SE, Minneapolis, MN 55455, USA

Neuronal activity in the central nervous system evokes localized changes in blood flow, a response termed neurovascular coupling or functional hyperaemia. Modern functional imaging methods, such as functional magnetic resonance imaging (fMRI), measure signals related to functional hyperaemia in order to determine localization of brain function and to diagnose disease. The cellular mechanisms that underlie functional hyperaemia, however, are not well understood. Glial cells have been hypothesized to be intermediaries between neurons and blood vessels in the control of neurovascular coupling, owing to their ability to release vasoactive factors in response to neuronal activity. Using an *in vitro* preparation of the isolated, intact rodent retina, we have investigated two likely mechanisms of glial control of the vasculature: glial K⁺ siphoning and glial induction of vasoactive arachidonic acid metabolites. Potassium siphoning is a process by which a K⁺ current flowing through glial cells transfers K⁺ released from active neurons to blood vessels. Since slight increases in extracellular K⁺ can cause vasodilatation, this mechanism was hypothesized to contribute to neurovascular coupling. Our data, however, suggest that glial K⁺ siphoning does not contribute significantly to neurovascular coupling in the retina. Instead, we suggest that glial cells mediate neurovascular coupling by inducing the production of two types of arachidonic acid metabolites, epoxyeicosatrienoic acids (EETs) and 20-hydroxyeicosatetraenoic acid (20-HETE), which dilate and constrict vessels, respectively. We show that both light flashes and direct glial stimulation produce vasodilatation or vasoconstriction mediated by EETs and 20-HETE, respectively. Further, we show that the type of vasomotor response observed (dilatation or constriction) depends on retinal levels of nitric oxide. Our data also demonstrate that glial cells are necessary intermediaries for signalling from neurons to blood vessels, since functional hyperaemia does not occur when neuron-to-glia communication is interrupted. These results indicate that glial cells play an important role in mediating functional hyperaemia and suggest that the regulation of blood flow may involve both vasodilating and vasoconstricting components.

(Received 7 March 2007; accepted after revision 4 April 2007; first published online 13 April 2007) **Corresponding author** E. A. Newman: Department of Neuroscience, University of Minnesota, 6-145 Jackson Hall, 321 Church Street SE, Minneapolis, MN 55455, USA. Email: ean@umn.edu

The central nervous system (CNS) must receive a continuous supply of blood to match the local metabolic needs of activated neurones. Neuronal activity within a localized brain region evokes increases in blood flow, a response termed functional hyperaemia. This response was first described over a century ago by Roy & Sherrington (1890). Functional hyperaemia is controlled by complex mechanisms involving a co-ordinated interaction between neurones, glial cells and cells of the vessel wall. Owing to the close relationship between these cells, they are called collectively 'the neurovascular unit' (Iadecola, 2004). The

tone of vascular smooth muscle cells sets the diameter of blood vessels and is the most important factor influencing changes in blood flow. According to Poiseuille's equation, small changes in the diameter of a vessel can have dramatic effects on blood flow, since flow is proportional to the fourth power of the vessel radius (Badeer, 2001). Vascular tone and diameter are influenced by a variety of factors released from neurones and glia during synaptic transmission (Hamel, 2006; Girouard & Iadecola, 2006).

Here we review recent findings from our laboratory on the role of glial cells in neurovascular coupling in the retina. The retina is the most accessible part of the CNS and is an ideal preparation for studying neurovascular coupling, since retinal neurones can be activated by their natural stimulus, light. In addition, the *in vitro* retina preserves a relatively intact vascular network, owing to a planar geometry of the blood vessels. Using an isolated retina with preserved vasoactivity to light, our laboratory has investigated two likely mechanisms of glial control of the vasculature: K⁺ siphoning and glial induction of vasoactive arachidonic acid metabolites.

Although electrically inexcitable (they cannot fire action potentials), glial cells respond to transmitters released from neurones with raised intracellular Ca²⁺ levels and, in turn, initiate physiological responses through the release of transmitters (Nedergaard, 1994; Schipke & Kettenmann, 2004; Newman, 2005*a*,*b*). This special form of Ca²⁺-based excitability present in glia is correlated with vasomotor responses. Depending on the CNS region studied and the type of preparation used, neuronal activity produces Ca²⁺ increases in glial cells, followed by vasoconstriction (Mulligan & MacVicar, 2004; Metea & Newman, 2006) or vasodilatation (Zonta et al. 2003; Takano et al. 2006; Metea & Newman, 2006) of adjacent arterioles. Although many vasoactive factors can be produced following activation of glial cells (ions, products of cellular metabolism and transmitters), arachidonic acid metabolites such as prostaglandins, epoxyeicosatrienoic acids (EETs) and 20hydroxyeicosatetraenoic acid (20-HETE) have been shown to play a key role in glial control of blood flow (see Fig. 3K; Harder et al. 2000; Medhora et al. 2001).

A second mechanism hypothesized to underlie glialmediated neurovascular coupling is a specialized form of K^+ spatial buffering termed K^+ siphoning, through which glial cells control extracellular K^+ ([K⁺]_o; Kofuji & Newman, 2004). Potassium siphoning is a process by which an increase in $[K^+]_o$ generated by active neurones results in K⁺ influx into glial cells, followed by K⁺ efflux at distant sites. During K⁺ siphoning, K⁺ influx depolarizes glial cells and drives out an equal amount of K⁺ from distant glial endfeet, where K⁺ channel density is high (Newman, 1984; Brew et al. 1986). These glial endfeet are directly apposed to blood vessels. Moderate increases in $[K^+]_0$ around blood vessels produce vasodilatation by hyperpolarizing the smooth muscle cells (Haddy et al. 2006). Thus, K⁺ siphoning by glia has been considered a likely mechanism of neurovascular coupling (Edvinsson et al. 1993). In the retina, inwardly rectifying Kir4.1 K⁺ channels carry most of the K⁺ siphoning current in glial cells and are expressed at high density on the endfeet of Müller cells apposed to blood vessels (Kofuji et al. 2000; Butt & Kamada, 2006).

We have addressed both K⁺ siphoning-mediated and arachidonic acid metabolite-mediated mechanisms of glial control of vasomotor responses in our experiments. Our data indicate that, contrary to a previous hypothesis

(Paulson & Newman, 1987), glial K⁺ siphoning in the retina does not contribute significantly to neurovascular coupling. Instead, we demonstrate that glial cells induce the production of two types of arachidonic acid metabolites, EETs and 20-HETE, which have vasodilating and vasoconstricting effects, respectively. Further, we suggest that nitric oxide (NO) levels determine whether vasodilatation or vasoconstriction will dominate. Nitric oxide acts as a switch to control the type of vascular response observed following neuronal activity. Our results indicate that the regulation of blood flow may involve vasodilating co-ordinated and vasoconstricting components mediated by glial cells. Our data also show that glial cells are necessary intermediaries for signalling from neurones to blood vessels in the retina, since functional hyperaemia does not occur when neurone-to-glia communication is interrupted.

Potassium siphoning and neurovascular coupling

Müller cells, the principal glia of the retina, are highly permeable to K⁺. This permeability is based on the expression of Kir4.1 K⁺ channels (Kofuji *et al.* 2000, 2002; Kofuji & Connors, 2004). The demonstration of glial K⁺ siphoning in the retina (Newman *et al.* 1984; Karwoski *et al.* 1989; Kofuji *et al.* 2002), as well as evidence for K⁺-induced vasodilatation (Chrissobolis *et al.* 2000; Chrissobolis & Sobey, 2003; Haddy *et al.* 2006) supports the hypothesis that glial cells dilate vessels by release of K⁺ (Paulson & Newman, 1987). Vasodilatation in response to increased K⁺ occurs by activation of vascular smooth muscle Na⁺,K⁺-ATPases as well as Kir channels (Haddy *et al.* 2006). However, it has never been directly demonstrated that glial K⁺ siphoning mediates neurovascular coupling.

Our laboratory performed two tests to determine whether K^+ efflux from glial cell endfeet can initiate vasodilatation (Metea *et al.* 2007): first, the diameter of retinal arterioles was monitored as K^+ efflux from glial cell endfeet was evoked by cell depolarization; and second, vascular responses elicited by flickering light were assessed in Kir4.1 knockout mice, in which K^+ efflux from glial cells is substantially reduced (Kofuji *et al.* 2000).

Potassium efflux, elicited by patch-pipette depolarization of single glial cells (which spreads to many cells in the glial network), failed to generate vascular responses (Fig. 1), suggesting that K⁺ efflux from glia is not sufficient to initiate smooth muscle cell responses. A second test of the K⁺ siphoning hypothesis was performed using knockout mice lacking Kir4.1 channels. If K⁺ siphoning contributes to neurovascular coupling, then light-evoked vasodilatation should be substantially reduced in Kir4.1 knockout animals because these channels are essential for carrying K⁺ siphoning currents (Kofuji et al. 2000). However, light-evoked vasomotor responses were the same in wild-type and



Figure 1. Light stimulation, but not glial cell depolarization, evokes vasodilatation *A* and *B*, micrographs showing a whole

cell-patched astrocyte contacting an arteriole. The patch pipette is seen at the left. A, infrared-differential interference contrast (IR-DIC) image. B, fluorescence image showing the Lucifer Yellow-filled astrocyte contacting the arteriole. Additional astrocytes coupled to the patched cell are also seen. Scale bar for A and B represents 10 μ m. C, time course of vessel diameter change. Flickering light stimulation evokes vasodilatation, demonstrating neurovascular coupling in the retina. D, the astrocyte shown in A and B is depolarized by injection of 1 nA current. Arteriole diameter does not change during the depolarization, indicating that K^+ siphoning is not sufficient to initiate vasodilatation. In a series of experiments, glial cells were depolarized to membrane potentials ranging from -40 to +120 mV, sufficient to produce K⁺ siphoning in many glial cells coupled together. Each cell was depolarized multiple times. No change in arteriole diameter was observed during glial depolarization.

knockout animals (Fig. 2). We conclude that in the retina, K^+ siphoning by glia is, at most, a secondary mechanism in neurovascular coupling and is not involved in the initiation of vasodilatation. It is possible that K^+ siphoning plays a more subtle, modulatory role in neurovascular coupling, such as influencing the basal vascular tone. However, the *in vitro* preparation is not well suited to investigate such a mechanism.

Arachidonic acid metabolites and neurovascular coupling

We also investigated the role that vasoactive arachidonic acid metabolites play in neurovascular coupling (Metea & Newman, 2006). Using the light-responsive rat retina preparation, we found that flickering light-induced neuronal activity can elicit either vasodilatation or vasoconstriction of retinal arterioles, depending on the concentration of NO present in the preparation (Fig. 3). Both responses were sensitive to drugs that interfered with arachidonic acid metabolism. EETs acids were responsible for vasodilatation to light and 20-HETE mediated vasoconstriction (Metea & Newman, 2006). Notably, responses of the same vessel changed from vasodilatation to vasoconstriction when the concentration of NO was raised (Fig. 3*J*), indicating that NO acts as



Figure 2. Light-evoked vasodilatation is not reduced in Kir4.1 knockout (KO) mice despite the absence of K⁺ currents in retinal glial cells

A, flickering light stimulation evokes vasodilatation of similar amplitude in both wild-type (WT) and Kir4.1 knockout mice. *B*, Ba²⁺-sensitive current–voltage relations of Müller cells from Kir4.1 WT and KO mice. Ba²⁺-sensitive inward current is completely absent in the KO cell.

a modulator of these vasomotor responses. The role of NO in determining the type of vasomotricity observed may explain previous contradictory studies concerning the involvement of arachidonic acid metabolites in neurovascular coupling in the brain. Either vasodilatation or vasoconstriction induced by glial cell stimulation has been observed in these studies (Zonta *et al.* 2003; Mulligan & MacVicar, 2004).

We also investigated whether glial cells mediate vasodilatation and vasoconstriction and whether these responses are correlated with glial cell Ca^{2+} excitability. Our laboratory has previously shown that following neuronal activity, glial Ca^{2+} increases are evoked owing to purinergic receptor activation (Newman, 2005*a*,*b*). We found that when retinal purinergic signalling is interrupted by an antagonist, neurovascular responses are inhibited. In the presence of purinergic antagonists, experimentally evoked increases in glial Ca²⁺ still produce vasoactive responses similar to those elicited by light (Fig. 4) (Metea & Newman, 2006). This indicates that glial cells are necessary mediators of neurovascular coupling in the retina. In addition, Ca²⁺ increases propagated as Ca²⁺ waves from an initiation site to distant (> 100 μ m) blood vessels evoked vasomotor responses (Fig. 5), suggesting that the glial network can mediate neurovascular coupling over large distances.

Discussion

Several recent studies indicate that glial cells can act as intermediaries in signalling from neurones to blood vessels within the neurovascular unit (Koehler *et al.*



Figure 3. Light stimulation evokes vasodilatation and vasoconstriction, an *in vitro* model of neurovascular coupling in the retina

A–*F*, IR-DIC images of arterioles at the vitreal surface of the retina. Shown are vessels before (*A*) and during lightevoked vasodilatation (*B*); before (*C*) and during light-evoked constriction (*D*); and before (*E*) and during lightevoked sphincter-like constriction (*F*). Scale bars represent 10 μ m. *G*, time course of light-evoked vasodilatation in 6 trials. *H*, time course of vasodilatation can be very fast (latency < 500 ms). *I*, time course of vasoconstriction in 5 trials. *J*, the concentration of NO determines the type of vascular response to light stimulation. Raising NO levels increases the percentage of vessels which constrict to light. Below 70 nm NO, light stimulation evokes vasodilatation in all vessels (*n* = 12). As NO is raised, a greater percentage of vessels constrict. *K*, schematic diagram of vasoactive metabolites of arachidonic acid. See Metea & Newman (2006) for details.



Figure 4. Activation of glial cells produces the same types of vascular responses as light stimulation Glial cells were stimulated by UV photolysis of caged- Ca^{2+} within the cells. Photolysis evoked propagated Ca^{2+} increases in networks of glial cells apposed to blood vessels. These Ca^{2+} increases were correlated with vessel dilatation (*A*), constriction (*B*) or sphincter-like constriction (*C*). These glial-evoked vasomotor responses occurred even when transmitter release from neurones was blocked by tetanus toxin.

2006). In the retina, we have found that glial cells are necessary for neurovascular coupling. When neuroneto-glia purinergic signalling is interrupted, neuronalinduced but not glial-induced vasomotricity is abolished (Metea & Newman, 2006). The precise role of glial cells in mediating neurovascular coupling is difficult to investigate, in part because glial cells, in addition to directly controlling vascular responses, are also key elements in neurometabolic coupling (Magistretti & Pellerin, 1999; Paemeleire, 2002). Although glia play key roles in regulating cerebral blood flow, we presently do not have a clear understanding of all of the complex interactions that occur within the neurovascular unit.

The fact that activated glia are able to produce either vasodilatation or vasoconstriction raises important questions regarding the mechanisms controlling blood flow in the CNS. It is possible that both vasodilatation and vasoconstriction are necessary in order to direct blood precisely to areas of need. We have found that glial cells can produce either dilatation or constriction evoked by the same stimulus but under different NO concentrations. Glial cells sense synaptic activity and respond with Ca²⁺ increases (Newman, 2005*b*). These increases may influence NO production, since nitric oxide synthase is Ca²⁺ sensitive. By controlling NO levels, glial Ca²⁺ increases could also influence the production of arachidonic acid metabolites, since the synthetic enzymes for EETs and 20-HETE are inhibited by NO. Our data indicate that glial-induced, vasodilating epoxygenase metabolites of arachidonic acid are active at low concentrations of NO, while vasoconstricting 20-HETE is favoured at higher concentrations.

These data suggest a model whereby a heterogeneous spatial activation of glial cells could serve as a mechanism for precise control over the vasculature, redistributing blood flow from constricted to relaxed vessels. In support of this hypothesis, we have also observed sphincterlike vasoconstrictions localized close to capillary branch points and sometimes occurring in a vessel displaying a general vasodilatation (Fig. 3E and F). Sphincter-like vasoconstrictions have also been observed in retinal capillaries (Peppiatt et al. 2006) and in brain vessels (Hamel, 2006), and might underlie a controlled closing of vascular collateral branches in order to maximize blood flow to other areas. It is also possible that blood flow can be directed towards or deflected from entire capillary beds as a result of strategic sphincter precapillary contractions. These active vessel constrictions might account for the generation of complex functional magnetic resonance imaging (fMRI) signals observed following activation of restricted brain regions (Harel et al. 2002).

A fuller understanding of glial function within the neurovascular unit will be necessary in order to develop an accurate model of the regulation of cerebral blood flow and may have an impact on the interpretation of brain function studies. It may also lead to new treatments for types of brain pathology where cerebral circulation is compromised.

Figure 5. Propagated glial Ca²⁺ waves evoke vasodilatation in distant arterioles A-D, a Ca²⁺ wave produced by ejection of ATP propagates through glial cells at the surface of the retina. The Ca²⁺ wave dilates an arteriole when it reaches the vessel. The site of focal ATP ejection, used to initiate the Ca²⁺ wave, was just beyond the upper right corner of the images. Scale bar represents 20 μ m.



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